

Please type a plus sign (+) inside this box →

+

PTO/SB/05 (08-00)

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	001404.04
	First Named Inventor or Application identifier	Rubenstein
ADDRESS TO: Assistant Commissioner of Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313	Title	Apparatus for Communicating with Law Enforcement During Vehicle Travel and Associated Methods
	Express Mail No.	EV 342457041 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 50]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 24]
5. ☒ Oath or Declaration [Total Pages: 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with No. 17 completed)
[Note No. 6 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Incorporation By Reference (useable if No. 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under No. 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s)) - Copy
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☒ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copy of IDS Citations
15. ☒ Preliminary Amendment
16. ☒ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
18. ☐ Other:

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

XX Continuation

of prior application No.: 09/968,633 FILED October 1, 2001

☐ Divisional

Prior Application Information:

☐ Continuation-in-part (CIP)

Examiner: _____ Group Art Unit: _____

20. Correspondence Address					
XXX	Customer Number or Bar Code Label:			X Correspondence address below	
		33940			
PATENT TRADEMARK OFFICE					
Name	Attention: Jeffrey S. Whittle Bracewell & Patterson, L.L.P.				
Address	P. O. Box 61389				
City	Houston	State	Texas	Zip Code	77208-1389
Country	U.S.A.	Telephone	(713) 221-1185	Fax	(713) 221-1241

Submitted by:

Jeffrey S. Whittle, Reg. No. 36,382

Date: September 5, 2003

1594339v1

00746 U.S. PTO
10/656407

09/05/03

CERTIFICATE OF MAILING 37 C.F.R. 1.10

LABEL # EV 342457041 US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope on the date indicated, addressed to Mail Stop New Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

September 5, 2003

Date

Amy Lewis-Wallace
Amy Lewis-Wallace

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Rubenstein

)
) Confirmation No.
)

Serial No.: CON of 09/968,633
filed October 1, 2001

) Examiner:
)
)

Filed:

) Group Art Unit:
)

Title: **Apparatus For Communicating With Law
Enforcement Vehicle During Travel And
Associated Methods**

) Attorney Docket No. 001404.004
)
)



33940

PATENT TRADEMARK OFFICE

TRANSMITTAL SHEET

Mail Stop New Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

Sir:

Transmitted herewith for reconsideration are the following documents:

1. Utility Patent Application Transmittal and Fee Transmittal;
2. Copy of Non-Provisional Application filed October 1, 2001;
3. Copy of Declaration and Power of Attorney
4. Copy of Assignment/Recordation;
5. Preliminary Amendment;
6. Information Disclosure Statement and Form PTO-1449 and copy of each citation;
7. Check in the amount \$457;
8. Postcard; and
9. Transmittal in duplicate.

The Commissioner is authorized to charge any additional fees to the Deposit Account of Bracewell & Patterson, L.L.P. 50-0259 (Attorney Docket No. 051702.04).

Respectfully submitted,

Date: September 5, 2003

Jeffrey S. Whittle
Jeffrey S. Whittle

Registration No. 36,382

BRACEWELL & PATTERSON, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389
(713) 221-1185; (713) 221-2141 (fax)

HOUSTON\1594410.1

<div style="display: flex; align-items: center;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-size: small; margin-right: 5px;"> 09/05/03 15562 U.S. PTO </div> <div style="text-align: center;"> <h2 style="margin: 0;">FEE TRANSMITTAL FOR FY 2003</h2> </div> </div>		PTO/SB/17(1-03)	
		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	Rubenstein
		Examiner Name	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Group/Art Unit	
Total Amount of Payment	\$457	Attorney Docket No.	001404.04

METHOD OF PAYMENT			
1. <input checked="" type="checkbox"/> Payment enclosed: <input checked="" type="checkbox"/> check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
2. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any overpayments to: <div style="margin-left: 40px;"> Deposit Account No.: <u>50-0259</u> Deposit Account Name: <u>Bracewell & Patterson, LLP</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27 </div>			
FEE CALCULATION			
1. Basic Filing Fee			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
\$750	\$375	Utility Filing Fee	\$375
\$333	\$165	Design Filing Fee	\$
\$520	\$260	Plant Filing Fee	\$
\$750	\$375	Reissue Filing Fee	\$
\$160	\$80	Provisional Filing Fee	\$
Subtotal (1)			\$375
2. Extra Claim Fees			
		Extra	Fee (below)
Total	17- 20** =	0	X \$9
Indep.	4 - 3** =	1	X \$42
Multiple Dependent			
** or number previously paid, if greater. For Reissues, see below			
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	
\$18	\$9	Claims in excess of 20	
\$84	\$42	Independent claims in excess of 3	
\$280	\$140	Multiple dependent claim, if not paid	
\$84	\$42	**Reissue independent claims over original patent	
\$18	\$9	**Reissue claims in excess of 20 and over original patent	
Subtotal (2)			\$42

FEE CALCULATION (continued)			
3. Additional Fees			
Large Entity	Small Entity	Fee Description	Fee Paid
130	65	Surcharge - late fee or oath	\$
50	25	Surcharge - late provisional filing fee or cover sheet	\$
130	130	Non-English specification	\$
2,520	2,520	Request for Reexamination	\$
920*	920*	Requesting publication of SIR prior to Examiner action	\$
1840*	1,840*	Requesting publication of SIR after examiner action	\$
110	55	Extension for reply within first month	\$
410	205	Extension for reply within second month	\$
930	465	Extension for reply within third month	\$
1,450	725	Extension for reply within fourth month	\$
1,970	985	Extension for reply within fifth month	\$
320	160	Notice of Appeal	\$
320	160	Filing a brief in support of an appeal	\$
280	140	Request for oral hearing	\$
1,510	1,510	Petition to institute a public use proceeding	\$
110	55	Petition to revive - unavoidable	\$
1,300	650	Petition to revive - unintentional	\$
1,300	650	Utility issue (or reissue)	\$
470	235	Design issue fee	\$
630	315	Plant reissue fee	\$
130	130	Petitions to the Commissioner	\$
50	50	Proceeding fee under 37 CFR 1.17(q)	\$
180	180	Submission of Information Disclosure Statement	\$
40	40	Recording each patent assignment per property (times number of properties)	\$40
750	375	Filing a submission after final rejections (37 CFR § 1.129(a))	\$
750	375	For each additional invention to be examined (37 CFR § 1.129(b))	\$
750	375	Request for Continued Examination (RCE)	\$
900	900	Request for expedited examination of a design application	\$
Other fee (specify): _____			\$
*Reduced by Basic Filing Fee Paid			
Subtotal (3)			\$40

Submitted by: Jeffrey S. Whittle
Bracewell & Patterson, L.L.P.
P.O. Box 61389
Houston, Texas 77208-1389

Registration No. 36,382

Telephone: 713/221-1185
Fax: 713/221-2141

Signature: _____

Date: September 5, 2003

Case No. 01-P-28110

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Transmitted herewith for filing is the patent application of:

Inventors: Jeffrey Rubenstein

For: **APPARATUS FOR COMMUNICATING WITH LAW ENFORCEMENT DURING VEHICLE TRAVEL AND ASSOCIATED METHODS**

Enclosed are:

- [X] Patent Application: 48 pages, 50 claims.
- [X] 24 Sheets of drawings.
- [X] information Disclosure Statement (with copies of cited references)
- [X] Declaration and Power of Attorney
- [X] Assignment
- [X] Applicant qualifies for small entity status under 37 CFR §§1.19 and 1.27.

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		SMALL ENTITY			LARGE ENTITY	
FOR:	# FILED		# EXTRA		RATE	FEE		RATE	FEE
BASIC FEE						\$ 370	OR		\$ 740
TOTAL CLAIMS	50	-20	30		X 9	\$ 270	OR	X 18	\$
INDEP CLAIMS	6	- 3	3		X 42	\$ 126	OR	X 78	\$
[] MULTIPLE DEPENDENT CLAIM PRESENTED					+ 130	\$	OR		
* If the difference in Col. 1 is less than "0", enter "0" in Col. 2.					TOTAL	\$ 766		TOTAL	\$ ***

CERTIFICATE OF MAILING BY EXPRESS MAIL*

[X] Enclosed is a check in the amount of \$ 766.00 to cover EXPRESS MAIL* MAILING LABEL NUMBER EL76853691305

[X] Filing Fee

DATE OF DEPOSIT

October 1, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

[X] Name, Address and Citizenship of Inventor(s) is as follows:

Jeffrey Rubenstein
3927 N.W. 58th St.
Boca Raton, FL 33496
Citizen of United States

Kathyl L. Tissue
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Kathyl L. Tissue
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD

Date: October 1, 2001

JEFFREY S. WHITTLE
Reg. No. 86,382
Allen Dyer, Doppelt, Milbrath & Gilchrist, P.A.
255 S. Orange Avenue, Suite 1401
P.O. Box 3701
Orlando, Florida 32802-3791
Phone: (407) 841-2330

SERIAL/PATENT NO. To Be Assigned
FILED/ISSUED Herewith
APPLICANT Rubenstein

KINDLY ACKNOWLEDGE RECEIPT OF ACCOMPANYING PAPERS WITH YOUR MAIL ROOM STAMP.

☒ \$ 766.00 check
☒ Authorization - Deposit Account No. 01-0484
☒ Patent application transmittal with Certificate
of Express Mail Label No. EL76853691345
(48 pages, 50 claims, 24 drawings)
☐ CPA Request Transmittal Form
☒ Declaration and Power of Attorney
☒ Assignment w/Cover Sheet
☐ Verified Statement
☐ Response to Notice to File Missing Parts
☒ Citation Under 37 CFR §1.97 (IDS)
☒ Form PTO-1449 and Copies of Cited References
☐ Response to Restriction Requirement
☐ Amendment Transmittal Form
☐ Amendment Responsive to the
Official Action of _____
☐ Request for Extension of Time (___ mos.)
☐ Notice of Appeal (\$310 large entity)
☐ Appellant's Appeal Brief (\$310 large entity)

☐ Transmittal of Formal Drawings (___ sheets)
☐ Part B -- Issue Fee Transmittal
☐ PCT Request (___ pp.) + Application (___ pp.)
☐ National Phase Transmittal Letter
☐ Certificate of Express Mail Label No. _____
☐ Response to PCT Invitation to Correct Defects
☐ Ch.II Demand
☐ Associate Power of Attorney
☐ Revocation of Prior Powers of Attorney and POA
☐ Petition Under 37 CFR §1.182 + \$130 Fee
☐ Terminal Disclaimer + \$110 Fee
☐ Request for Correction to Filing Receipt
☐ Request for Correction to Assignment and/or cover
☐ Transmittal of Certified Copy of Priority Document
☐ Preliminary Amendment
☐ Submission of Proposed Drawing Modification
☐ Request Certificate of Correction + PTO 1050

10-1-01 28110
DATE FILE NO.

JSW
ATTORNEY (407) 841-2330

ALLEN, DYER, DOPPELT, MILBRATH & GILCHRIST, P.A. CLIENT COST ACCOUNT

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☒ \$ 766.00 check
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☐ Transmittal of Certified Copy of Priority Document
☐ Preliminary Amendment
☐ Submission of Proposed Drawing Modification
☐ Request Certificate of Correction + PTO 1050

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OCT 15 2001
A.D.D.M.&G

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09/968633
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